

Docket No. 0010-1066-0

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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IN RE APPLICATION OF: Vitaliy A. LIVSHITS, et al.

SERIAL NO: 09/459,573

GAU:

FEB 10 2005

FILED: December 13, 1999

EXAMINER:

FOR: METHOD FOR PRODUCING L-AMINO ACID

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.Fourth Floor  
1755 Jefferson Davis Highway  
Arlington, Virginia 22202  
Tel. (703) 413-3000  
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(OSMMN 10/98)

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Norman F. Oblon  
Registration No. 24,618  
  
George F. Lesmes  
Registration No. 19,995

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0010-0709-0 PCT	5,175,107	12/29/92	DEBABOV, et al
0010-0710-0 FWC	5,538,873	07/23/96	DEBABOV, et al
0010-0735-0 CONT	5,631,157	05/20/97	DEBABOV, et al
0010-0790-0 DIV	5,658,766	08/19/97	LIVSHITS, et al
0010-0799-0 CONT	5,705,371	01/06/98	DEBABOV, et al
0010-0861-0 CONT	5,976,843	11/02/99	DEBABOV et al
0010-0908-0 CONT	09/010,110	01/21/98	ALLOWED
0010-0944-0	09/137,695	08/21/98	PENDING
0010-1037-0 CONT	09/390,701	09/07/99	PENDING
0010-1039-0	09/396,357	09/15/99	PENDING
0010-1066-0*	09/459,573	12/13/99	PENDING
0010-1070-0	09/466,935	12/20/99	PENDING

**\*Present application; listed for information.**

E

Dept.: CHEMICALOSMM&N File No. 0010-1066-0By: NFOlab/Serial No. 09/459,573In the matter of the Application of: Vitaliy A. LIVSHITS et al.For: METHOD FOR PRODUCING L-AMINO ACID

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification      Claims/Drawings      Sheets
  - ☐ and      pages Application Data Sheet
  - ☐ Combined Declaration, Petition & Power of Attorney      pages
  - ☐ List of Inventor Names and Addresses
  - ☐ Utility Patent Application Transmittal      ☐ CPA
  - ☐ Notice of Priority      ☐ Priority Doc
  - ☐ Check for      ☒ Dep. Acct. Order Form
  - ☐ Fee Transmittal Form
  - ☐ Assignment/PTO 1595 pages:
  - ☐ Letter to Official Draftsman
  - ☐ Letter Requesting Approval of Drawing Changes
  - ☐ Drawings      sheets      ☐ Formal
  - ☐ Letter
  - ☐ Amendment
  - ☐ Information Disclosure Statement      ☐ PTO-1449
  - ☐ Cited References
  - ☐ Search Report
  - ☒ Statement of Relevancy
  - ☒ IDS/Related/List of Related Cases
  - ☐ Restriction Response
  - ☐ Rule 132 Declaration
  - ☐ Petition for Extension of Time
  - ☐ Notice of Appeal
  - ☐ Brief
  - ☐ Issue Fee Transmittal
  - ☐ White Advance Serial Number Card
  - ☐ Small Entity Status is Claimed
  - ☐
  - ☐
- ☒ Cited Pending Applications (1)  
☐ Election Response



Due Date: June 4, 2001

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Docket No. 0010-1066-0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Vitaliy A. LIVSHITS et al.

SERIAL NO: 09/459,573

GAU: 1641

FILED: December 13, 1999

EXAMINER:

FOR: METHOD FOR PRODUCING L-AMINO ACID

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

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**REFERENCES**

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**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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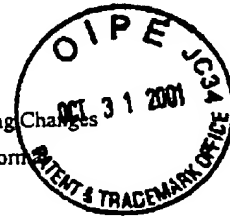
**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
202048US0	09/761,782	01/18/01	PENDING
0010-1066-0*	09/459,573	12/13/99	PENDING

Dept.: CHEMICALOSAM&N File No. 0010-1066-0By: NFO/lcSerial No. 09/459,573In the matter of the Application of: Vitaly A. LIVSHITS, et al.For: METHOD FOR PRODUCING L-AMINO ACID

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ pp. Specification Claims/Drawings Sheets  
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- ☐ List of Inventor Names and Addresses
- ☐ Utility Patent Application Transmittal ☐ CPA
- ☐ Notice of Priority ☐ Priority Doc
- ☐ Check for ☒ Dep. Acct. Order Form
- ☐ Fee Transmittal Form
- ☐ Assignment/PTO 1595 pages:
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Form
- ☐ Letter
- ☐ Amendment
- ☐ Information Disclosure Statement ☐ PTO-1449
- ☐ Cited References
- ☐ Search Report
- ☐ Statement of Relevancy ☒ Cited Pending Applications (4)
- ☒ IDS/Related/List of Related Cases ☐ Election Response
- ☐ Restriction Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☐ White Advance Serial Number Card
- ☐ Small Entity Status is Claimed
- ☐
- ☐



Due Date: October 31, 2001

Docket No. 0010-1066-0

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Vitaly A. LIVSHITS, et al.

SERIAL NO: 09/459,573

GAU: 1652

FILED: December 13, 1999

EXAMINER: STEADMAN, D.

FOR: METHOD FOR PRODUCING L-AMINO ACID

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

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OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon

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(OSMMN 10/98)

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
212607US0 DIV	09/927,395	08/13/01	PENDING
211392US0 CONT	09/903,765	07/13/01	PENDING
206440US0 CONT	09/847,392	05/03/01	PENDING
206339US0	09/841,609	04/25/01	PENDING
0010-1066-0*	09/459,573	12/13/99	PENDING

\*Present application; listed for information.